

# Landslides as a geomorphological proxy for climate change: A record from the Dolomites (northern Italy)

Lisa Borgatti <sup>a,\*</sup>, Mauro Soldati <sup>b</sup>

<sup>a</sup> Dipartimento di Ingegneria delle Strutture, dei Trasporti, delle Acque, del Rilevamento, del Territorio - DISTART ALMA MATER STUDIORUM - Università di Bologna  
Viale Risorgimento, 2, 40136 Bologna, Italy

<sup>b</sup> Dipartimento di Scienze della Terra, Università di Modena e Reggio Emilia. Largo S. Eufemia, 19, 41121 Modena, Italy

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## ABSTRACT

This study investigates the relationships between climate changes and hillslope evolution in the Dolomites (eastern Alps, Italy), during the Late Quaternary, with particular attention paid to landslide processes. The basic premise is that modifications in landslide frequency may be interpreted as changes in the hydrological conditions of slopes, which are in turn controlled by climate.

After the statistical analysis of a data set composed of 73 conventional radiocarbon ages, obtained from 24 landslides, four periods of enhanced landsliding have been identified: I. from 10,700 to 8400 cal BP, between Younger Dryas and the Preboreal; II. from 8200 to 6900 cal BP, during the older Atlantic; III. from 5800 to 4500 cal BP, between Atlantic and Subboreal; and IV. from 4000 to 2100 cal BP, between Subboreal and Subatlantic.

These periods have been compared with different Lateglacial and Holocene paleoclimatic records, to check the correspondence between periods of enhanced landslide activity and cold and humid spells recognized at different spatial scales. As the records show, in the study areas, slope instability processes can be considered geomorphological indicators of climatic changes and to a certain extent reliable proxies of environmental evolution.

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## 1. Introduction

The contribution of Geomorphology to paleoclimatic reconstructions is certainly significant. Since morphogenetic processes which take place on the Earth's surface are influenced by climate, landforms as well as superficial deposits potentially provide a record of the evolution of a landscape in a particular climatic framework. Previous investigations have clearly shown that from the Lateglacial to the present, climate has influenced slope evolution, either directly or indirectly, and that slope processes may be considered geomorphological indicators of climate changes (e.g., Goudie, 1992).

Temporal clustering of ancient landslide events has in fact been reported from different European regions such as Great Britain, Spain, Italy and Eastern Europe (Frenzel et al., 1993; González Díez et al., 1996; Panizza et al., 1996; Starkel, 1997; Alexandrowicz, 1997; Schoeneich et al., 1997; Ibsen and Brunsden, 1997; Lateltin et al., 1997; Matthews et al., 1997; Dikau and Schrott, 1999; Margielewski, 2001; Bertolini and Tellini, 2001; Schmidt and Dikau, 2004; Soldati et al., 2004; Bigot-Cormier et al., 2005). Case studies from Africa (Thomas, 1999; Busche, 2001), from northern and southern America (Bovis and Jones, 1992; Trauth et al., 2000; Smith, 2001; Trauth et al., 2003; Holm et al., 2004) and from Asia (Sidle et al., 2004) have also

been recently published. Recent studies have been focused on the correlation between slope movements, climatic changes and land-use in prehistoric and historic times (Dapples et al., 2002; Glade, 2003), and on precipitation regime and seismicity (Corominas, 2001) as triggering causes of temporal and spatial concentrations of landslide events. Comprehensive reviews on the topic are provided by Berrisford and Matthews (1997) and Borgatti et al. (2001).

The results presented here concern the study of the relationships between climate and slope evolution from the Lateglacial to the present and are an extension of the former study of Soldati et al. (2004). The research has been carried out in study sites located in the Dolomites (Alps, northern Italy), to test the premise that modifications in landslide frequency may be interpreted as changes in the hydrological conditions of slopes, which are directly controlled by climate. The correlation between temporal concentrations of landslide events and climatic events recognized at different spatial scales has been assessed, to identify to what extent slope instability processes can be considered geomorphological indicators of climatic changes.

## 2. Study area

Cortina d'Ampezzo (46°32'14.58"N, 12° 8'20.12"E) and Corvara in Badia (46°33'3.94"N, 11°51'35.10"E) are located in the eastern Italian Alps in the Dolomites (Fig. 1). The mountain groups, that rise from 1400 m a.s.l. in the valley bottom up to 3000 m a.s.l., are made up of dolomite rocks, with, in most cases, marls or limestones alternating

\* Corresponding author. Tel.: +39 051 2093103; fax: +39 051 6448073.  
E-mail address: [lisa.borgatti@unibo.it](mailto:lisa.borgatti@unibo.it) (L. Borgatti).

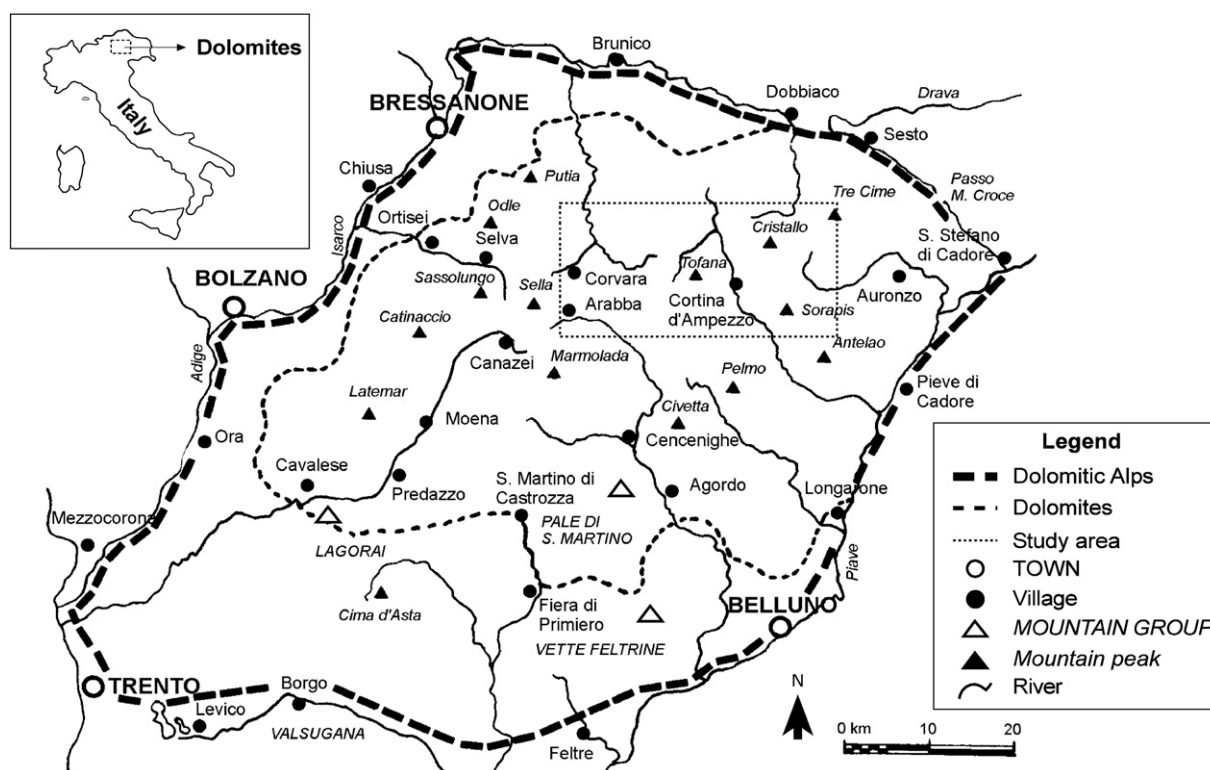


Fig. 1. Geographic setting of the Cortina d'Ampezzo and Alta Badia areas (Dolomites, Italy) (modified from Bertoglio and De Simoni, 1979).

with clayshales outcropping in the slopes underlying the dolomite peaks (Soldati et al., 2004).

Structural features, including folds of regional or local extent, low-angle overthrusts and normal, reverse or strike-slip faults are related to the Tertiary Alpine compression (Doglioni and Bosellini 1987; Castellarin et al., 1992). In Alta Badia, evidence of neotectonic movements was also found (Castaldini and Panizza, 1991; Corsini and Panizza, 2003). Due to tectonic stresses, the rock masses are highly fractured and consequently prone to slope instability.

Landslide processes are widespread: the dolomite cliffs are involved in lateral spreads, rock falls and topples, while rotational and translational slides and flows affect the slopes where clay-rich rocks outcrop. In some cases, landslide deposits can be more than 100 m thick and extend for several square kilometres. At present, the deepest sliding surfaces are dormant, while recurrent reactivations, tend to be more superficial (Corsini et al., 2005) and are mainly due to intense and/or prolonged rainfall and snowmelt.

Specific investigations into the temporal occurrence of landslides have been carried out in the Dolomites since the 1990s. These study areas, located in well-known geological and geomorphological contexts, have been chosen because the set of dated phenomena is composed of similar landslide types, affecting comparable lithologies. A wide number of landslides have been dated, either directly or indirectly (Panizza, 1990; Panizza et al., 1996; Corsini et al., 2000; Corsini et al., 2001). The chronology shows temporal clustering of dated mass movements, which allows climatic inference to be made using sets of contemporary landslides. Most of the data have been presented in Soldati et al. (2004), where the geological and geomorphological setting, and the context in which the dated material was found, are thoroughly described.

### 3. Landslides dating

#### 3.1. Dating methods

In the study areas, detailed geological and geomorphological field surveys have been carried out to identify the type and activity of slope

instability processes (Panizza, 1990; Pasuto et al., 1997; Corsini et al., 1998). The stratigraphy of the landslide bodies has been reconstructed from subsurface investigations and many organic samples have been obtained (Soldati, 1999; Corsini et al., 1999, 2001, 2005). Wood, peat and organic sediment samples linked to past landslide events have been collected by means of continuous coring, in natural or artificial scarps inside landslide accumulation zones. Direct or indirect dating of landslides has been carried out using stratigraphic methods and radiocarbon analyses by the conventional or AMS methods. In this study, eleven new datings have been added to the record by Soldati et al. (2004) (Table 1). The conventional radiocarbon ages have been calibrated using Calib. (Stuiver et al., 2004) and the intcal04 calibration data set (Reimer et al., 2004), with a 2 sigma error. In addition, the calibration software has allowed a statistical analysis to be carried out, that combines the age probability distributions of more than two close radiocarbon ages. The resulting curve is the best fit combination of the different curves and expresses the 2 sigma age interval for the combined event. Applying this procedure, a distinction between radiocarbon dates that could be referred to the same statistical landslide event (as defined by Schoeneich, personal communication) and real clustering of different events have been made.

Then, in order to analyse the temporal occurrence of landslide events, the distributions of probability for each dating have been summed, producing plots for the single study sites and for both together. In this procedure, besides the direct datings of landslide events, also the ages obtained from lake sediments have been considered; in fact, they have been recognized as a the sedimentary expression of abrupt mass wasting phenomena occurred in the catchment (Borgatti et al., 2007).

#### 3.2. Dating constraints

Several issues have been taken into account in data interpretation stage, in order to establish a valuable database from the chronostratigraphic point of view. First of all, the analysis of the stratigraphic and geomorphological context is of paramount importance, in order to reduce the sampling bias. Datable materials may be found buried

**Table 1**

Radiocarbon dating in the area of Cortina d'Ampezzo and Corvara in Badia; data calibrated with Calib 5.0.2, data set by Reimer et al. (2004).

Landslide	Landslide type	Sample code	Sample type	Site of collection	Depth (m)	Conventional Radiocarbon Age (years BP)	Calibrated Radiocarbon Age (years BP)
<i>a - Landslides dated in Cortina d'Ampezzo (see Soldati et al., 2004, for landslides location map)</i>							
Col Drusciè	Translational rock slide	-	Tree trunk	Excavation	14.50	9000±150	9632–10,509
Cadin_2	Earth flow	B-179855	Tree trunk	Excavation	3.50	12,120±60	13,820–14,116
Cadin_1	Earth flow	GX-16117	Tree trunk	Excavation	3.50	12,150±435	13,193–15,350
Chiave	Earth flow	B-74802	Tree trunk	Excavation	3.50	4520±60	4972–5437
Lacedel_1	Earth flow	GX-17696	Wood	Borehole	42.30	10,035±110	11,245–11,977
Lacedel_2	Earth flow	GX-17697	Wood	Borehole	22.20	9270±105	10,234–10,705
Pierosà	Translational rock slide	B-63341	Wood	Excavation	4.50	10,850±80	12,736–12,949
Cortina	Earth flow	B-83624	Peat	Borehole	23.00	8710±70	9535–10,112
Cortina_2	Earth flow	B-63338	Wood	Excavation	5.00	4350±60	4827–5270
CortinaAlverà_1	Earth flow	B-63340	Wood	Excavation	3.50	2810±60	2771–3078
CortinaAlverà_2	Earth flow	B-83623	Wood	Excavation	2.00	2560±80	2362–2784
CortinaAlverà_3	Earth flow	R-1753	Tree trunk	Excavation	7.50	1460±30	1303–1396
CortinaStaulin_1	Earth flow	GX-17699	Wood	Borehole	24.00	3315±140	3218–3904
CortinaStaulin_2	Earth flow	GX-17698	Wood	Borehole	3.00	2465±125	2161–2835
Chiamulera	Earth flow	B-63339	Tree trunk	Excavation	4.50	4700±60	5315–5583
Pezziè_1	Earth flow	B-186012	Tree trunk	Excavation	1.5	7940±60	8610–8992
Pezziè_2	Earth flow	B-83622	Wood	Excavation	6.00	6570±70	7327–7580
Pezziè_3	Earth flow	B-83621	Wood	Excavation	2.50	6190±50	6956–7245
Pezziè_4	Earth flow	B-74803	Tree trunk	Excavation	3.50	5730±70	6323–6713
La Riva_1	Earth flow	GX-17689	Wood	Excavation	5.00	8280±100	9025–9472
La Riva_2	Earth flow	B-63342	Wood	Excavation	3.50	4220±60	4539–4871
Zuel_1	Translational rock slide	GX-17687	Wood	Excavation	3.50	9440±105	10,408–11,125
Zuel_2	Translational rock slide	GX-17688	Wood	Excavation	3.50	9215±105	10,202–10,658
Campo/Zuel	Sedimentation in dam lake	GX-17690	Wood	Excavation	4.50	7180±200	7629–8384
<i>b - Landslides dated in Corvara in Badia (see Soldati et al., 2004, for landslides location map)</i>							
Corvara_1	Rotational rock slide–earth flow	B-112032	Wood	Borehole (C1)	25.70	8820±50	9686–10,156
Corvara_2	Rotational rock slide–earth flow	B-112033	Wood	Borehole (C1)	26.40	8560±90	9319–9883
Corvara_4	Rotational rock slide–earth flow	B-112031	Organic sediment	Borehole (C3)	22.70	7920±70	8596–8990
Corvara_3	Rotational rock slide–earth flow	B-154704	Wood	Borehole (C6)	69.70	8020±60	8647–9028
Corvara_5	Earth flow	B-179847	Wood	Borehole (CPZ4)	50.40	6140±60	6808–7238
Corvara_6	Earth flow	Ki-9233	Wood	Borehole (C6)	47.50	5543±72	6208–6480
Corvara_7	Earth flow	B-179848	Wood	Borehole (CPZ4)	52.00	5510±80	6029–6486
Corvara_8	Earth flow	Ki-9230	Wood	Borehole (C6)	19.10	4616±64	5053–5576
Corvara_9_1	Earth flow	B-112029	Wood	Borehole (C2)	7.50	4260±70	4575–5033
Corvara_9_2	Earth flow	B-112030	Wood	Borehole (C2)	20.000	4260±70	4575–5033
Corvara_10	Earth flow	B-179846	Wood	Borehole (CPZ3)	38.00	4170±80	4445–4861
Corvara_11	Earth flow	Ki-9234	Tree trunk	Erosion scarp	8.00	3888±64	4099–4514
Corvara_12	Earth flow	B-105976	Tree trunk	Erosion scarp	6.00	3830±60	4013–4418
Corvara_13	Earth flow	B-105977	Tree trunk	Erosion scarp	4.50	2860±60	2808–3207
Corvara_14	Earth flow	B-93975	Tree trunk	Erosion scarp	5.00	2490±60	2363–2735
Corvara_15	Earth flow	B-112034	Wood	Borehole (C4)	37.40	2260±50	2152–2348
Arlara_1	Rotational rock slide–earth flow	B-179850	Tree trunk	Erosion scarp	1.5	7000±60	7697–7942
Arlara_2	Rotational rock slide–earth flow	B-105975	Tree trunk	Erosion scarp	3.5	6870±50	7610–7826
Arlara_3	Rotational rock slide–earth flow	B-186011	Tree trunk	Erosion scarp	1.5	5530±70	6192–6463
Col Alto_1	Mud flow	B-179853	Organic sediment	Excavation	1.8	6450±100	7171–7561
Col Alto_2	Mud flow	B-179851	Organic sediment	Excavation	1.1	5470±80	6004–6411
Col Alto_3	Mud flow	B-179852	Wood	Excavation	1.1	5230±50	5909–6179
Col Alto_4	Mud flow	B-179854	Organic sediment	Excavation	0.7	4980±60	5601–5892
Col Alto_5	Mud flow	B-93976	Tree trunk	Excavation	2.00	2350±60	2159–2700
Rio Pocol	Earth flow	B-128367	Tree trunk	Erosion scarp	5.00	950±50	742–952
Colfosco_1	Rock fall/avalanche	B-112024	Tree trunk	Excavation	3.50	4420±70	4858–5287
Colfosco_11	Sedimentation in dam lake	Ki-9232	Organic sediment	Borehole (S4)	15.10	7088±72	7738–8029
Colfosco_12	Sedimentation in dam lake	B-154701	Wood	Borehole (S3)	12.80	6810±60	7570–7785
San Cassiano_2	Earth flow	B-128365	Tree trunk	Excavation	3.50	2260±60	2120–2358
San Cassiano_1	Earth flow	B-128366	Organic sediment	Excavation	7.40	2610±60	2490–2855
San Leonardo	Earth flow	B-128368	Wood	Borehole (SL2)	11.00	4890±70	5470–5878
Col Maladat_1	Rotational rock slide	B-112026	Wood	Borehole (B5)	20.20	9080±70	9948–10,490
Col Maladat_2	Sedimentation in dam lake	B-112028	Wood	Borehole (B6)	20.24	8810±70	9610–10,161
Col Maladat_3	Sedimentation in dam lake	B-112025	Wood	Excavation (C)	5.64	7740±80	8383–8716
Col Maladat_4	Sedimentation in dam lake	B-112027	Wood	Borehole (B6)	4.40	6460±90	7177–7562
Col Maladat_5	Sedimentation in dam lake	B-112023	Wood and peat	Excavation (B)	4.20	3550±70	3272–3577
Col Maladat_6	Sedimentation in dam lake	B-112022	Wood	Excavation (B)	1.43	3210±60	3272–3577
Col Maladat_7	Sedimentation in dam lake	HD-19408	Wood	Excavation (A)	0.48	1249±22	1088–1269
Col Maladat_df2	Debris flow	B-154705	Organic sediment	Excavation (D)	3.50	1320±60	1084–1338
Col Maladat_df3	Debris flow	Ki-9229	Chalk	Excavation (D)	5.00	1281±64	1063–1302
Col Maladat_df1	Debris flow	Ki-9231	Tree trunk	Excavation (D)	6.00	2537±64	2365–2757
Col da Oi	Earth flow	Ki-7757	Tree trunk	Erosion scarp	2.00	3050±50	3080–3376
Greif_1	Mud flow	Ki-9226	Tree trunk	Excavation	3.50	1490±64	1298–1519
Greif_2	Mud flow	B-154703	Peat	Excavation	3.30	810±60	662–905
Greif_4	Mud flow	B-154702	Peat	Excavation	2.80	340±60	297–505
Greif_3	Mud flow	Ki-9227	Organic sediment	Excavation	2.50	378±64	306–515

**Table 1** (continued)

Landslide	Landslide type	Sample code	Sample type	Site of collection	Depth (m)	Conventional Radiocarbon Age (years BP)	Calibrated Radiocarbon Age (years BP)
<i>b - Landslides dated in Corvara in Badia (see Soldati et al., 2004, for landslides location map)</i>							
Stella_df	Debris flow	B-154706	Organic sediment	Excavation	5.00	1950±60	1729–2040
Sottocianin	Rotational rock slide–earth flow	Ki-9228	Tree trunk	Excavation	2.50	8143±72	8784–9397
Passo Gardena	Translational rock slide	B-179849	Organic sediment	Borehole (PG2B)	38.5	6280±80	6994–7416

underneath the landslide deposit, within the landslide mass, at the surface, or associated with the movement, whether in an outcrop, in a section of the deposit or from boreholes. In all these cases, the direct dating of a landslide event and the indirect dating of correlated processes, from which minimum and maximum ages can be obtained, have to be distinguished. Schoeneich (1991) and Lang et al. (1999) have stressed the difficulty of assigning the correct ages to first slope failure and subsequent reactivations. Indeed, in the case of landslides which have undergone several reactivations, the sampling and dating procedure generally results in an underrating of the actual landslide activity. The typical situation found in the study areas is shown in Fig. 2. The samples are buried by subsequent reactivations of rock or earth slides or flows (Cruden and Varnes, 1996). Ideally, the sequence of all landslide events is represented in the stratigraphical record of landslide deposits. The oldest samples refer to the first movement, followed by progressively younger samples, going up in the sequence. However, in the real world, not all the events may have been recorded in the sediments: on the one hand, in the case of landslides, the sedimentation process is not continuous and, on the other hand, only landslide events that affected vegetation-covered areas can be dated with radiocarbon technique. Moreover, the geomorphological process and the mechanism of landsliding itself are the source of further constraints. The three-dimensional shape of the landslide body should be delineated, in order to distinguish the correct sequence of dated samples and related events. Furthermore, in the case of earth flows, some samples could have been reworked by subsequent events.

The inherent principles and limits of the dating method have then been considered. As far as the analytical bias is concerned, alteration effects, i.e. changes of the original  $^{14}\text{C}/^{12}\text{C}$  ratio after death, due to processes other than radioactive decay (exchange of carbon with the environment through chemical and physical processes, and/or biological activity), contamination (e.g., addition of extraneous carbon during sample preparation) and “old wood” effect have been taken into account during sampling and preparation procedures. The hard-water effect, that is a recognized source of error in radiocarbon dating, but if the sample consists of tree wood, or the leaves, twigs or seeds of

wholly terrestrial plants, then it can be assumed that no hard-water error is present.

In any case, pretreatment was used to eliminate secondary carbon components, reducing the sample to a single component. It is clear that pretreatment procedures don't ensure that the radiocarbon date will represent the event, that is otherwise determined by the sample integrity.

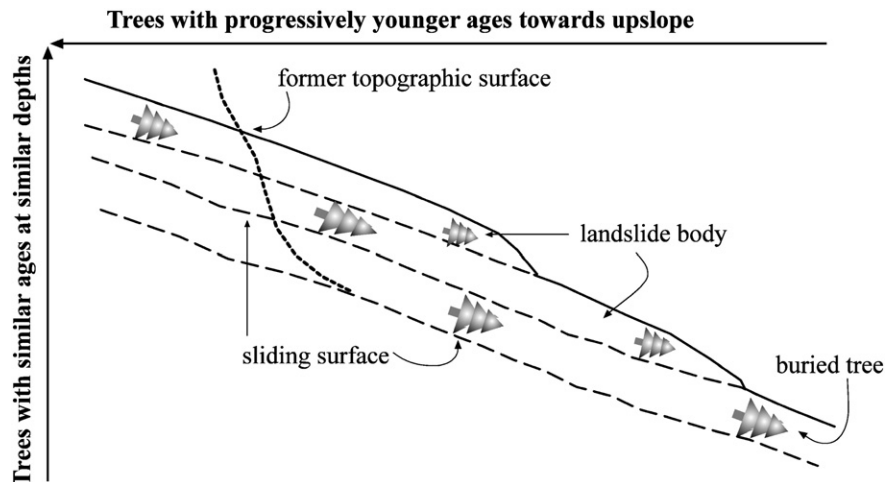
Finally, the structure of the database has also been considered, to check whether bias towards younger events exists. In fact, older events are less likely to be sampled, being more difficult to be reached as generally there is a lack of deep boreholes reaching the original sliding plan, and having a higher probability to be removed by erosional processes. Moreover, before the Bølling, due to the sparse vegetation, the chance of finding organic matter buried by a mass movement is low. As in the case of the Dolomites, this fact generally leads to a marked predominance of surface samples (Soldati et al., 2006). In any case, it is worthy to stress that even if this bias exists, the distribution of the ages is almost continuous throughout the Holocene, with several old event included in the database (Table 1).

#### 4. Landslide activity records in the Dolomites

Starting from the synthesis of the set of data presented in Soldati et al. (2004) and from eleven new datings (see Table 1), the sequence of enhanced slope instability has been further developed, by analysing the statistical distribution of calibrated radiocarbon ages.

The first outcome is the clear difference between the elaborations of the data collected in the two study sites (Fig. 3).

In the area of Cortina the ages are clearly older, with the oldest samples to be referred to more than 14 ka cal BP. This difference is related to the timing of deglaciation and of the subsequent reforestation at different altitudes (Cortina d'Ampezzo 1224 m a.s.l., Corvara 1568 m a.s.l.) and to the morphological settings, with respect to valley width and exposition. In any case, the onset of deglaciation at the southern alpine border is dated to 20 ka cal BP (Bondesan, 1999; Orombelli et al., 2005), whereas the Lateglacial reforestation in the mountain belt of the Southern Alps is dated 14.5 ka cal BP (Avigliano et al., 2000; Pini, 2002).



**Fig. 2.** Sketch of an ideal cross section of a landslide body, showing the typical stratigraphical situation found in the study areas. Tree trunk and wood remnants are usually buried and/or transported during subsequent reactivations of the landslide (modified from Schoeneich, 1991).



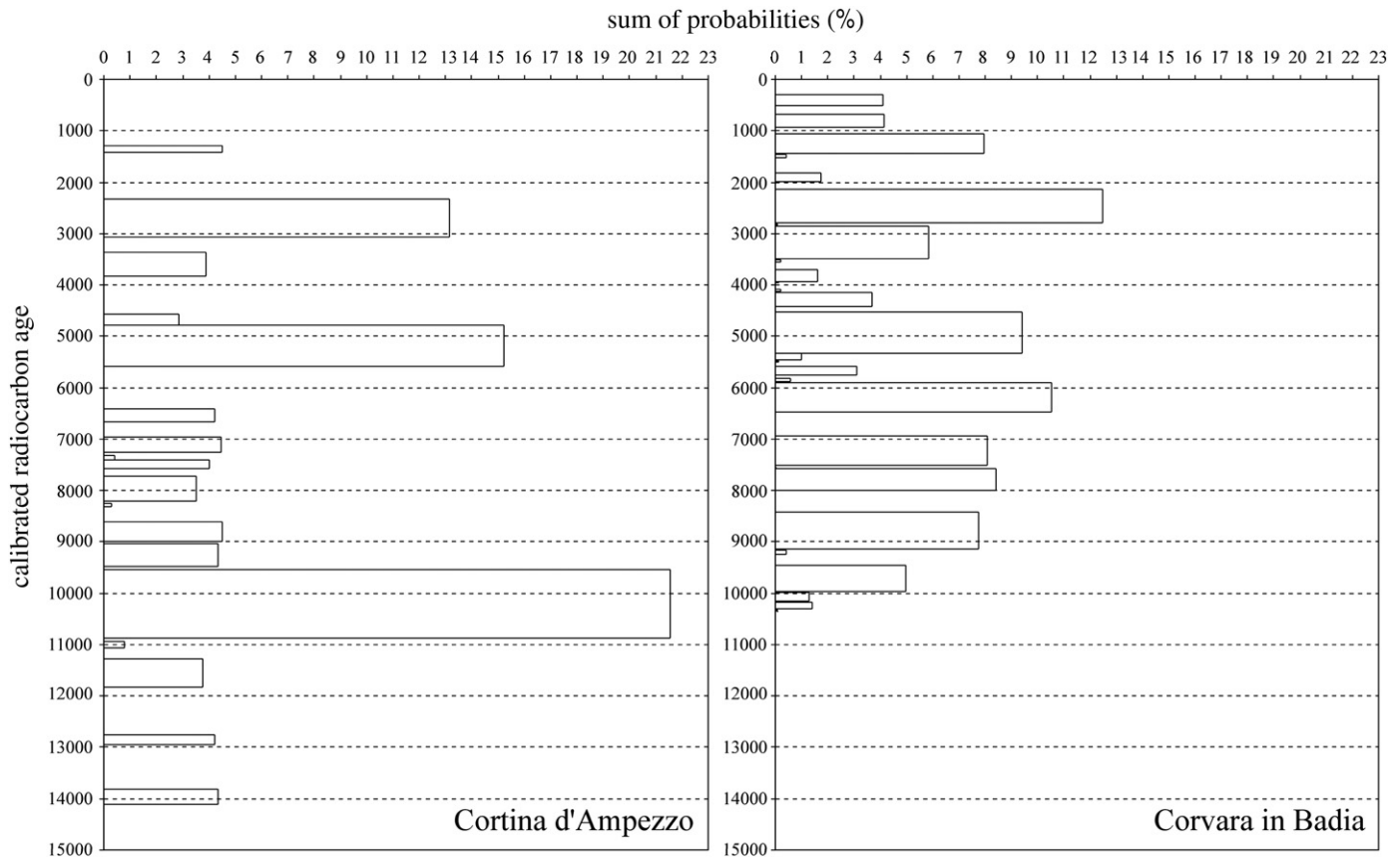


Fig. 3. Radiocarbon ages calibration of organic samples found buried in the landslide bodies. The statistical distributions have been summed.

Indeed, no tree vegetation occurred in the Dolomites until 14.5 ka cal BP, that means also low chance to find organic matter buried by a mass movement before that time. Again, only few deep drillings or exposed sections reach the basal sliding surface. Therefore, many initial failures may be still not dated. On the other hand, the lack of very old landslides could have also a climatic significance, related with progressive and delayed permafrost melting processes at higher altitudes.

In the area of Corvara the age distribution shows a persistent landslide activity during the entire time span of the Holocene, whereas in Cortina the ages are more scattered. This could be linked to the number of dated samples, i.e., 24 samples in Cortina, 49 in Corvara. Anyway, some clusters are recognisable in both records (around 5 ka cal BP and from 2 to 3 ka cal BP), while others are not analogous, such as the 11,000–9500 temporal cluster in Cortina, which is not so marked in Corvara.

If the distribution of probability is considered for both study sites together (Fig. 4), the picture is different and the clustering around certain ages becomes clearer. By analysing the complete data set, four periods of enhanced landsliding can be outlined: I. from 10,700 to 8400 cal BP, between Younger Dryas and the Preboreal; II. from 8200 to 6900 cal BP, during the older Atlantic; III. from 5800 to 4500 cal BP, between Atlantic and Subboreal; and IV. from 4000 to 2100 cal BP, between Subboreal and Subatlantic.

Many initial failures of large landslides occurred between 11,000 and 10,000 cal BP, i.e. during the Lateglacial-Holocene transition (Soldati et al., 2004). The higher number of dated events in this time span may not indicate an increase in landslides frequency, being a consequence of the amplified chance of finding buried plants debris. Otherwise, assuming a climatic significance for this cluster, it could be related with slope release following the definitive permafrost melting and the subsequent increase of water availability at high altitudes.

Period II could be related to the effects of the 8200 ka event (Bond et al., 1997), as in the case of many other records from different proxies that carry the signal of this global climatic event. The enhanced activity in the Upper Holocene is related to more cold and humid periods throughout the Subboreal and Subatlantic. In particular, starting from the Subatlantic, some Authors found that the increase of slope instability phenomena is related to human impact, mainly because of deforestation (Dapples et al., 2002). These studies show that anthropogenic factors must be considered at least since the Bronze Age, possibly as amplifiers of climatic factors.

## 5. Discussion: climate as a causal factor for landsliding at a broad temporal scale

Field observation of present-day activity and historical records show that first-time failures of large landslides follow a complex hydrological and mechanical behaviour (Corominas, 2001). In fact, first-time failures are the result of long-term evolutionary processes of the slope rather than the near-immediate response to a specific trigger. On the other hand, the influence of moisture balance is evident in the case of reactivations of dormant landslides, the acceleration of active movements and in the triggering of shallow slope failures.

Previous research has clearly demonstrated the linkages between climate change and landslide activity (Buma and Dehn, 1998; Dehn et al., 2000). In particular, changes in the hydrological balance, resulting from the temporal distribution of temperature and rainfall, and the resulting evapotranspiration, directly influence the hydrological regime of slopes, which in turn governs the type, the rate and the temporal and spatial evolution of mass movements. Consequently, in the analysis of the relationships between landslides and climate, it

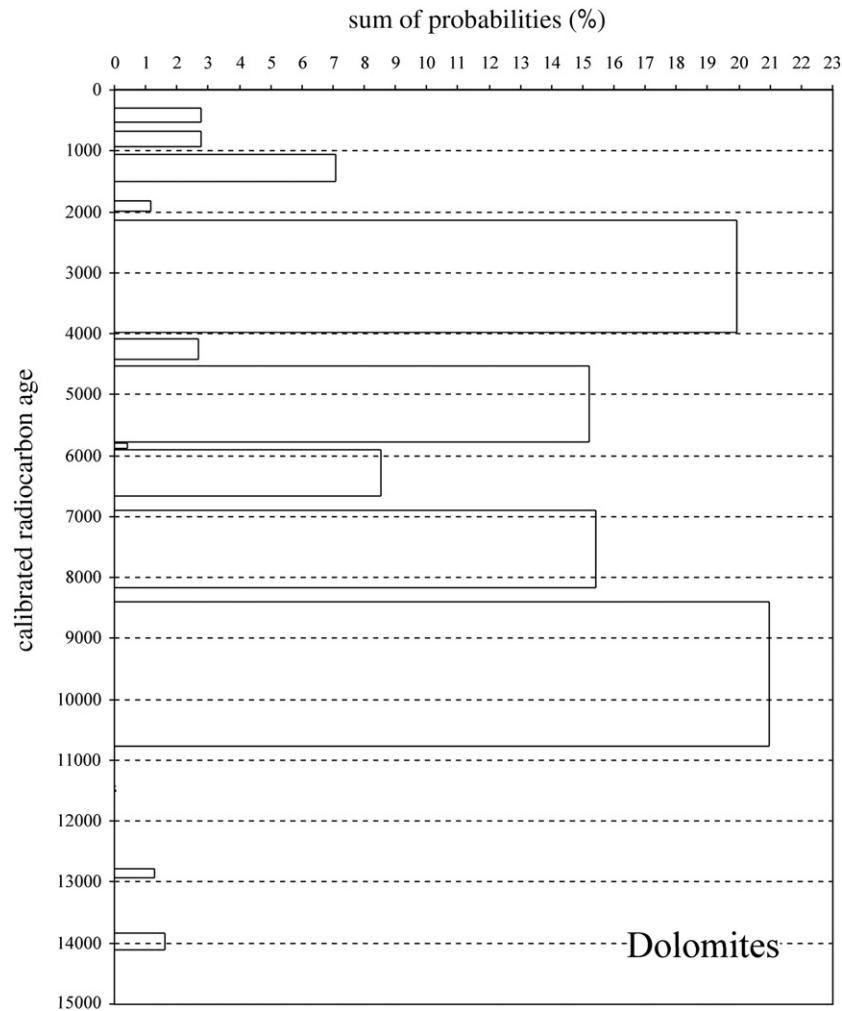


Fig. 4. Temporal distribution of dated slope instability events in the Dolomites.

is necessary to focus on temperature changes as well as on the timing, frequency and magnitude of rainfall.

It can be assumed that the relationship between climate, in particular positive moisture balance and landslide activity exists at every time scale. At a broad temporal scale, the relationship between landslide activity and triggering mechanisms can be established from the temporal clustering of dated landslides, starting from the assumption that a concentration of dated landslides around a specific age may have a similar cause.

As regards the factors predisposing and triggering landslide events, seismicity, climate and human activities are the only factors able to produce temporal and spatial concentrations of single landslides events. Also fluvial undercutting related to stages of landscape evolution can produce concentration of events, but this requires the same terrains to be involved and the same landscape history. Both rainfall and earthquakes can mobilise debris flows, rock falls, rotational and translational slides, and unless additional information can be retrieved, no distinctive morphological feature can discriminate isolated landslides triggered by rainfall from ones having other causes. The first two triggers cause widespread landsliding, while human activity usually causes local instability phenomena. Therefore, a correct procedure for establishing a scheme of temporal recurrence of landslides carrying a climatic signal, could be given by a critical analysis of the instability events, in order to exclude those landslides ascribable to non-climatic causes. This goal can be achieved through a multidisciplinary approach directed to the appraisal of the paleoenvironmental conditions at the time of the

landslides, in order to discriminate between the climatic and non-climatic factors which conditioned the slope-system in the short-, medium- and long-term period. As a direct consequence, besides the development of a landslide events record, other proxy records have to be considered in order to disentangle the possible interactions between natural systems, in this case the slope-system, climate and humans.

The active seismo-tectonics of the eastern part of the Southern Alps represents a major dynamic factor in conditioning slope instabilities. In fact, the major rock walls in the Dolomites were affected by intense jointing mainly in correspondence with the principal faults, eventually reactivated during the Pliocene and the Quaternary (e.g., Val de Mesdì fault, active between 5.2 and 0.7 million years BP, [Castaldini and Panizza \(1991\)](#), and Col Alto–Pralongià–Passo Incisa strike-slip fault, [Corsini and Panizza, 2003](#)). Direct effects of macro-seismic activity on slope instabilities are historically documented in terms of widespread rock fall phenomena and shallow landslides around the epicentral zones. In the study areas, the only confirmed case of correlation is the Cinque Torri earth flow, which occurred in concomitance with the 15th September 1976 quake of the in the Friuli-Venezia Giulia Region ([Zardini, 1979](#)). It is likely therefore that, while that the spatial location of slope instability processes is guided by structural features, climatic conditions are the main cause of widespread landsliding.

Particular attention has also been paid to possible human influence on temporal and spatial concentrations of landslide events. The intense exploitation of forests, agricultural and farming resources, high-altitude pastures and mining activities are the causes of mass wasting processes

which have been clearly identified in various archaeological contexts. In the study site of Corvara in Badia, a multidisciplinary study has been carried out on an Early Holocene lacustrine sequence (Borgatti et al., 2007), also to look for the interactions between human activity and mass wasting phenomena. It has been made evident that time span of lake sedimentation (9900 to 6500 cal BP) predates the main phases of human impact in the eastern Alps, as no anthropic pollens have been identified. In any case, although precise data are still lacking, deforestation and abandonment and introduction of particular crops probably occurred during the Bronze Age, with villages located in internal valleys like Alta Badia, exploiting slopes adjacent to the valley floors and also high-altitude sites during the summer (Tecchiati, 1998).

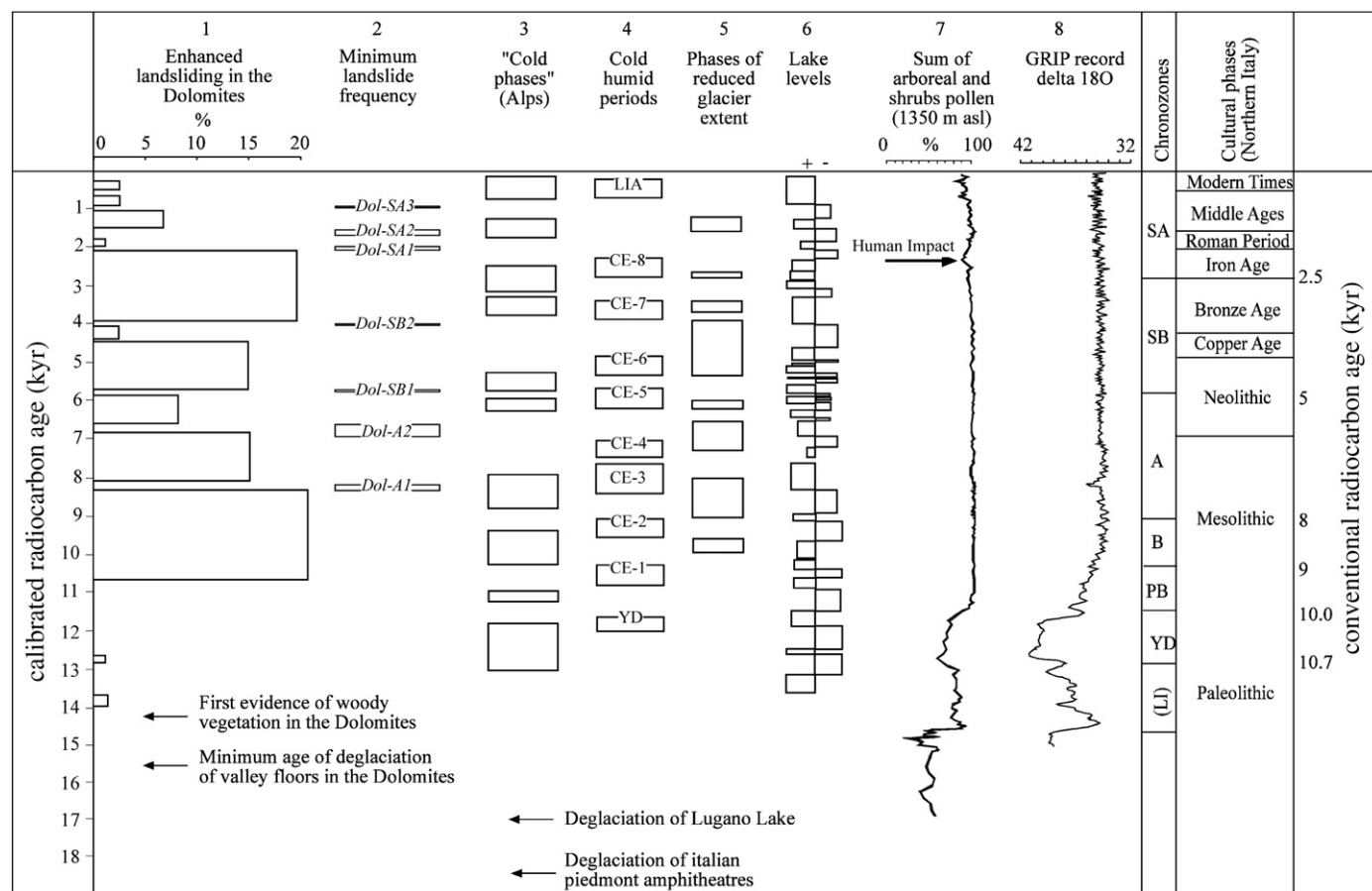
As far as the reconstruction of climate evolution during the Holocene is concerned, thanks to pollen databases a detailed temperature reconstruction on a time resolution up to 100 years at the regional scale of the Alps is available (e.g., Davis et al., 2003). This reconstruction reveals slight temperature variations during the last 8000 years, confirmed also by local reconstructions from chironomids (e.g., Heiri and Millet, 2005), tree rings (e.g., Nicolussi and Patzelt, 2000) and oxygen isotopes measured on ostracod valves (e.g., Von Grafenstein et al., 1999). This weak temperature variability contrasts with evidence of clear glacier fluctuations (Ivy-Ochs et al., 2006), as well as variability in hydrological regime registered as lake-level fluctuations (Magny, 2004) together with changes in intensity and frequency of major floods of alpine rivers

(Arnaud et al., 2002). It can be concluded that Holocene climate variability was driven by changes in precipitation regimes, in term of intensity and duration.

Therefore, considering the significance of rainfall regime and the resultant hydrologic response of the slopes in triggering mass movements, after excluding the direct influence of seismicity and human activity, the periods of enhanced landsliding have been compared to different Lateglacial and Holocene paleoclimatic records, in order to verify the climatic control on the clustering of landslide events.

Besides landslide records from the Dolomites, some proxy records coming from different realms, either continental or marine, have been taken into account, with reference to Fig. 5. Some of the records carry clear signals of cold and humid phases, whereas others are more related to warmer periods, primarily as a consequence of the nature of the proxy.

The records from the Dolomites show the periods of enhanced landsliding documented in this study and the periods of reduced landslide activity as described in Borgatti et al. (2007). A lake sediment record dating back to the early Holocene in the area of Corvara in Badia (see Borgatti et al., 2007) also supports this figure. The chronology of the lacustrine succession was obtained on the basis of three datings: (i) near the base (20.24 m depth), (ii) in the middle part (14.10 m depth) and (iii) in the uppermost succession (4.40 m depth). The calculation of the sedimentation rate for in the first part



**Fig. 5.** Comparison of different Late Glacial and Holocene paleoclimatic record at different spatial scales (modified after Borgatti et al., 2007). Legend: 1. Enhanced slope instability events in the Dolomites (Borgatti & Soldati, in print); 2. Phases of minimum landslide frequency in the Dolomites (Borgatti et al., 2007); 3 and 4. Cold and humid periods in the Alps and on the Swiss Plateau (Haas et al., 1998; Tinner and Amman, 2001; Tinner and Kalterieder, 2005); 5. Phases of reduced glacier extent, recorded by the retreats of the Unteraar and other Swiss glaciers (Hormes et al., 2001); 6. Mid-European lake levels (Magny, 1999) as paleohydrological indicators; 7. Tree line in the mountain belt of the Alps: sum of arboreal and shrub pollen (local plants excluded from percentage calculation) from Pian di Gembro, Rhetian Alps, 1350 m a.s.l. (Pini, 2002; courtesy R. Pini). 8. Delta  $\delta_{18O}$  in GRIP ice cores, central Greenland (Johnsen et al., 1997). Cultural periods in Northern Italy (Cardarelli, 1993). The age of deglaciation onset of Italian piedmont amphitheatres is from Monegato et al., (2005). The deglaciation of Lake Lugano is from Niessen and Kelts, 1989. LI: Lateglacial Interstadial, not a chronozone and therefore shown in brackets; YD: Younger Dryas; PB: Preboreal; B: Boreal; A: Atlantic; SB: Subboreal; SA: Subatlantic.

gives a range from 6.98 to 19.81 mm/y over 6.14 m. In the second part of the core, the sedimentation rate is lower, ranging from 4.50 to 6.02 mm/y over 9.7 m. From almost 10,000 to 9100 cal BP, the sedimentation rate appears to be significantly higher than from 9000 to 7300 cal BP, which is in agreement with the record of landslide activity.

The periods of cold and humid climate in the central and eastern Swiss Alps and Plateau have been reported (Haas et al., 1998; Tinner and Kalterieder, 2005). On the other hand, warm periods, recorded by the Unteraar glacier retreat in the Central Swiss Alps, as reported by Hormes et al. (2001), are considered. It is worth notice that in some periods the records display opposite trends, that are mainly due to different time resolutions and to local variability.

The record of mid-European lake levels (Magny, 1999), obtained from the systematic study of littoral sediment sequences in lakes of the Jura, the French Pre-Alps and the Swiss Plateau, have shown the sensitivity of the regional lake levels to Holocene climatic oscillations and allowed the setting up of a comprehensive regional pattern of palaeohydrological variations during the Holocene. The regional lake-level record can also be directly compared with other records from the North Atlantic, as evidence of possible general atmospheric circulation patterns coupled with Holocene climate oscillations (Magny, 1999). The sum of arboreal and shrub pollen represent the tree line in the Alps as recorded at 1350 m a.s.l. (Pini, 2002), also in comparison with the hemispheric record of delta 180 in GRIP ice cores in central Greenland (Johnsen et al., 1997).

Despite the intrinsic difficulties in correlating these records, which are mainly due to different spatial scales (local, regional and global), dissimilar time resolutions and several dating constraints, some remarkable indication are apparent. The periods of enhanced slope instability found in the Dolomites display quite a good correlation especially with the indicators of cold and humid climate, suggesting that these phases could have been climatically-driven, and, in particular, that a positive moisture balance could have played a major role in conditioning landslide activity at the hundred to thousand years time scale. It is clear that a positive moisture balance could have occurred under different environmental conditions. On the one hand, an increase in intensity and/or duration of rainfall could be significant at every time scale, together with evapotranspiration variability driven also by temperature regime changes.

The phases of minimum landslide frequency identified from Borgatti et al. (2007) alternating with the enhanced landsliding periods fall within phases of reduced glacier extent, with warmer summers and/or reduced precipitation.

Mass wasting processes are primarily controlled by the geological and structural predisposing factors, which may differ from region to region, but this record shows that the apparent modulations are clearly induced by the centennial–millennial scale climate changes. In addition, in formerly glaciated mountain belts, the long-term effects of the deglaciation and permafrost melting may result in effects opposite to the actual climate tendencies, as in the case of the clustering around the onset of the Holocene. Also the effects of cold spells are to be stressed, as in the case of the 8.2 ka event, that seems to have left a clear signature in the landscape. Finally, during the upper Holocene, the long-term tendency towards an increased slope stability after the last deglaciation could then be counterbalanced by the effects of human activity, starting from 4 ka cal BP.

## 6. Landslides in a changing environment: conclusions and perspectives

Landslides provide a record of climate variability at a range of temporal and spatial scales. Besides the intrinsic complexity of the landsliding phenomenon, many factors may produce changes in the frequency and magnitude of both first-time slope failures and reactivations. A variety of triggers may account for first-time failures

of large landslides, while reactivations of large landslides are susceptible to prolonged periods of wetness extending over decades.

In this study, the effects of different environmental changes (temperature increase, intense rainfall, vegetation disappearance), seismicity and human impact have been taken into account. The results show that a match exists between the clusters of landslide events so far obtained and the regional and global paleoclimatic framework. This suggests that, in particular contexts, landslide can be considered as geomorphological indicators of climatic changes. Nevertheless, at present, landslide records undergo several biases and there are still gaps to be fulfilled in order to consider them as reliable paleoclimatic proxies.

From the geomorphological point of view, an integration of these data into a complete paleoclimatic record, can help in distinguishing the actual triggers of known phases of slope instability. At the same time, for the paleoclimate community, landslide records could be a sort of independent source of data, that can be added to a multidisciplinary and comprehensive data archive, towards a common framework.

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